

Form B: Board and Senior Management Information Declaration Form

1. SACCO Name: í ..

2. Designation in the SACCO í ...

3. Committee Structure, if Board member í

4. Personal Information

a) Surname.....

b) Other Names.....

c) Previous Names (if any) by which you have been known: í í í í í í í í í í í í í í í í

d) Year and Place of birth: í

e) Personal Identification Number í ..

f) Postal Address:

g) Previous Postal Addresses (if any).....

h) Physical Address.....

i) Educational Qualification(s) and year obtained:

í ...
í ...
í ...
í ...
í ...
í ...
í ...
í ...



j) Professional Qualification (s) and years obtained:

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í í

k) Name(s) of your Bankers during the last 5 years:

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5. Employment / Business Record

Name of Employer/ Businessí
Addressí
Position Heldí .
Fromí í í í í í í í í í í í í í í .. Toí í í í í í í í í í í í í í í í í í
Reasons for Leavingí .
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a) **Shareholding (Directly Owed Or through Nominees):** Provide details of the following:

Name of Company or SACCO	Amount of shareholding

b) **Directorship:** Provide details of the following:

Name of SACCO Company	Executive or non-executive	Position held in the case of an Executive

c) **Professional Bodies:** Provide details of the following:

Name of body	Member no	Position

d) Social Clubs: Provide details of the following:

Club name	Position Held

10. Questionnaire

10.1 Have you at any time been convicted of any criminal offence in any jurisdiction?
 If so, give particulars of the court in which you were convicted, the offence, the penalty imposed and the date of conviction

10.2 Have you, or has any entity with which you are involved, or have been associated as a director, shareholder or manager, been the subject of an investigation, in any country, by a government department or agency, professional association or other regulatory body?.....
 If so, give particulars

10.3 Have you ever been dismissed from any employment, or been subject to disciplinary proceedings by your employer or barred from entry of any profession or occupation?
 If so, give particulars.....

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10.4 Have you ever been declared bankrupt by a court or has a bankruptcy petition ever been served on you?

If so, give particularsí ..

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10.5 Have you ever been held liable by a court, for any fraud or other misconduct?.....

If so, give particularsí ..

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10.6 Are you presently, or do you, other than in a professional capacity, expect to be engaged in any litigation in any country?í í í í í í í .

If so, give particularsí ..

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10.7 Indicate the names, addresses, telephone numbers and positions of three individuals of good standing who would be able to provide a reference on your personal and professional integrity. The referees must not be related to you, and should have known you for at least five years.

i) .í í í í í í í í í íí

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ii) .í í í í í í í í í íí

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iii) .í í í í í í í í í íí

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10.8 Is there any additional information, which you consider relevant for the consideration of your suitability or otherwise for the position(s) held / to be held?

If so, give particulars:

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NOTE: The information given in response to this questionnaire shall be kept confidential by FSRA, except in cases provided for by law. The omission of material facts may represent the provision of misleading information.

DECLARATION

I certify that the information given above is complete and accurate to the best of my knowledge, and that there are no other facts relevant to the application of which the SACCO Regulatory Authority should be aware.

I undertake to inform the FSRA of any changes material to the applications, which may arise while the application is under consideration. Further, I confirm that I have agreed to fulfil the responsibilities related to this position.

Name: í í í í í í í í í í í í í Signature: í ..

Signed at: í í í í í í í í .. this: í í í í ..: í í í í .. Day of: í í í í í í .., 20: í í í í í í í í ..

WITNESSED BEFORE ME:

Witness Name: í í í í í í í í **Signature:** í í í í í í í í **Date:** í í í í í í í í ..

COMMISSIONER OF OATHS

Name: í í í í í í í í í í í í **Signature** **Date:** í í í í í í í í

Address:

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